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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : BEN-LEVY et al.
Serial No. : Not yet assigned Examiner: Not yet assign.
Filed : 30 August 2001 Group Art Unit: Not yet assign.
Title : COMPUTER TRADING OF FINANCIAL INTERESTS

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants respectfully submit with this Information Disclosure Statement an Information Disclosure Citation List, and a copy of each document listed therein, pursuant to 37 C.F.R. §§ 1.97 and 1.98. The Examiner is requested to consider the enclosed documents, make them of record, and indicate his or her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document. Please return a copy of the initialed Citation form to the Applicants' undersigned Attorney.

Respectfully submitted,

Dated: 30 August 2001


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PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031

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Substitute for form 1449A/PTO				Compl te if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	Not yet assigned
(use as many sheets as necessary)				Filing Date	August 30, 2001
				First Named Inventor	Shai Ben-Levy et al.
				Group Art Unit	Not yet assigned
				Examiner Name	Not yet assigned
Sheet	1	of	3	Attorney Docket Number	3524/30



U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		6,223,167		Alaia et al.	04/24/2001	
		6,161,099		Harrington et al.	12/12/2000	
		6,151,589		Aggarwal et al.	11/21/2000	
		6,131,087		Luke et al.	10/10/2000	
		6,055,518		Franklin et al.	04/25/2000	
		6,035,287		Stallaert et al.	03/07/2000	
		6,029,146		Hawkins et al.	02/22/2000	
		6,021,398		Ausubel	02/01/2000	
		6,014,643		Minton	01/11/2000	
		5,950,177		Lupien et al.	09/07/1999	
		5,924,083		Silverman et al.	07/13/1999	
		5,924,082		Silverman et al.	07/13/1999	
		5,915,209		Lawrence	06/22/1999	
		5,905,975		Ausubel	05/18/1999	
		5,905,974		Fraser et al.	05/18/1999	
		5,845,266		Lupien et al.	12/01/1998	
		5,835,896		Fisher et al.	11/10/1998	
		5,809,483		Broka et al.	09/15/1998	
		5,758,328		Giovannoli	05/26/1998	
		5,724,524		Hunt et al.	03/03/1998	

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
Sheet	2	of	3	Application Number	Not yet assigned
				Filing Date	August 30, 2001
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				Group Art Unit	Not yet assigned
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U.S. PATENT DOCUMENTS

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Sheet

3

of

3

Complete if Known

Application Number	Not yet assigned
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First Named Inventor	Shai Ben-Levy et al.
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned

Attorney Docket Number	3524/30
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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials'	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"eCommerce in the U.S. Fixed Income Markets", "The 1999 Review of Electronic Transaction Systems", The Bond Market Association, November 1999. (36 PP)	
		"Getting the Word Out to the Right Muni Dealers", Bloomberg, October 1996, page 85, 87	
		"Government Bond Trading Made Easy", Bloomberg, June 1999, pages 86-88	
		"Bloomberg Financial Markets Commodities News", Bloomberg L.P., 8/1/98 (11 pp)	
		"And now-electronic bidding" Grant's Municipal Bond Issuer, Vol. 2, No. 14, July 16, 1998 (4 pp)	
		"Innovative Trading in the Nasdaq Marketplace" User Manual, Bloomberg Tradebook Europe Ltd., June 1999	
		" Real Bids. Institutional Investors Begin Using Electronic Bond Trading", The Bank of New York Company, Inc. April 8, 1998 (1 pg)	
		"Real Bids. The Bank of New York to Aquire Automated Bond Trading System", The Bank of New York Company, Inc. April 19, 1997	
		"The Automation of Captial Markets" Arnold Picot, Christine Bortenlaenger and Heiner Roehrl, Institute of Organization, Munich, Germany, Circa 1996 (20 pp)	
		"TradeWeb Launches First Multi-Dealer Electronic Trading System for Fixed-Income Securities" EDI Update International The Global Review of Electronic Trade, October 1997, Volume IX, No. 11 (1 pg)	
		"What Corporate Traders Could Learn from Munis", Bloomberg, September 1993 (pages 16-17)	

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